## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Confirmation No. 1588

ZLOKOVIC et al. Atty. Ref.: 4061-32

Appln. No. 10/529,748 T.C. / Art Unit: 1649

Filed: March 30, 2005 Examiner: D.E. Kolker

FOR: PROTEIN S PROTECTS THE NERVOUS SYSTEM FROM INJURY

## **DECLARATION UNDER 37 CFR § 1.132**

Berislav V. Zlokovic and John H. Griffin declare the following:

- 1. We are the original, first and joint inventors of the subject matter which is claimed in this application.
- 2. We have reviewed the claims pending in this application after entry of the claim amendments filed on April 15, 2008.
- 3. On information and belief, the Examiner cited as prior art under Section 102(a) the abstract of Cheng et al., which was published as Soc. Neurosci. Abstract 390.13 (see attached).
- 4. On information and belief, this application claims priority benefit of Application No. 60/414,333 filed September 30, 2002 and the earliest claimed priority date is less than one year after the publication of Cheng et al.
- 5. The authors of the abstract are T. Cheng, D. Liu, J. Fernandez, J.H. Griffin, and B.V. Zlokovic.
- 6. T. Cheng and D. Liu worked under and were supervised by Berislav V. Zlokovic.
- 7. On information and belief, neither T. Cheng nor D. Liu has asserted that he is an inventor of the subject matter which is claimed in this application.

- 8. J. Fernandez worked under and was supervised by John H. Griffin.
- 9. On information and belief, J. Fernandez has not asserted that he is an inventor of the subject matter which is claimed in this application.
- 10. The undersigned declare that all statements made herein of personal knowledge are true and that all statements made on information and belief are believed true; and further that these statements were made with the knowledge that any willful false statements are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of

this patent application or any patent issuing thereon. $\frac{1}{100} \int_{\infty} \sqrt{100} dt$	M
9/18/00 Date	Berislav-V. Zlokovik
Printed Name of Witness	Witness' Signature
Date	John H. Griffin
Printed Name of Witness	Witness' Signature

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ZLOKOVIC et al. - Appln. No. 10/529,748

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Date	Berislav V. Zlokovíc
Printed Name of Witness	Witness' Signature
4/17/08	John Hoff
Date	John-H. Stiffin
DENICE MUNOZ	I MAL MY
Printed Name of Witness	Witness' Signature

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NEUROPROTECTIVE AND ANTI - THROMBOTIC EFFECTS OF PROTEIN S IN A MURINE MODEL OF STROKE.

AUTHOR: Cheng T (Reprint); Liu D (Reprint); Fernandez J; Griffin J H; Zlokovic B V (Reprint

AUTHOR ADDRESS: Department of Neurosurgery, Frank P. Smith Neurosurgical Research Laboratory, University of Rochester, Rochester, NY, USA\*\*USA JOURNAL: Society for Neuroscience Abstract Viewer and Itinerary Planner 2002 pAbstract No. 390.13 2002 2002

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RECORD TYPE: Abstract

LANGUAGE: English

ABSTRACT: Protein S is the anticoagulant protein in plasma and an important cofactor for activated protein C. Deficiencies in protein are linked to the development of thrombosis and cerebral thrombophlebitis. Protein S is also a mitogen and exerts its cellular effects via the Axl tyrosine kinase receptor. In the present study, we examined the effects of protein S in a murine model of focal ischemia using middle cerebral artery occlusion/reperfusion technique. Mice (6 per group) received either purified human plasma-derived protein 8 or vehicle i.v. 10 min after stroke induction. Protein S reduced the infarction volume and brain edema in a dose-dependent manner, by 33% and 43% at 0.2 mg/kg, respectively, and by 57% and 62%, at 2 mg/kg, respectively. This correlated with significant improvement in the motor neurological score by 1.4-fold (0.2 mg/kg protein S) to 3.2-fold (0.5 and 2 mg/kg protein S ). At 2 mg/kg, protein S reduced brain deposition of fibrin by 40% and improved the cerebral blood flow by 20-25% within the first hour of reperfusion. Intracerebral bleeding was not observed with protein S . Data indicate significant neuroprotective and anti-thrombotic effects of protein 8 in a murine model of stroke. We suggest that protein 8 should also be considered as a potential therapeutic agent for ischemic stroke in humans.